DOT Compliance Program Checklist

Review details

Carrier / USDOT number

Review date / reviewer

People and qualifications

- ☐ Confirm license class, endorsements, restrictions, and required medical status before assigning work.
- ☐ Review applications, licensing inquiries, road-test evidence, annual MVR reviews, and applicable variances.
- ☐ Track previous-employer investigations separately with appropriate access controls.

Testing and hours of service

- ☐ For Part 382-covered drivers, verify pre-employment testing, Clearinghouse checks, and random-program coverage.
- ☐ Confirm drivers understand testing procedures and supervisors have required reasonable-suspicion training.
- ☐ Review actual HOS records, unassigned driving, required supporting documents, and ELD malfunctions.

Equipment and response

- ☐ Review unit identification, maintenance schedules, service records, and periodic inspection reports.
- ☐ Check defect reporting and repair follow-through before further operation.
- ☐ Maintain an accident-response process, required accident register, and accessible emergency contacts.

Management practice: set recurring file reviews and training based on your operation. Those review intervals are company choices unless a specific rule sets the deadline.

Priority actions

Gap / action	Assigned to	Due date

49 CFR 391.51 49 CFR 382.701 49 CFR 396.3 49 CFR 395.8 49 CFR 390.15

Working resource. Confirm applicability and current requirements for your operation.